



PTO/SB/21 (09-04)

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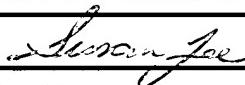
## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/791,476		
Filing Date	March 1, 2004		
First Named Inventor	Gavin		
Art Unit	3713		
Examiner Name	Cherubin		
Total Number of Pages in This Submission	5	Attorney Docket Number	PA2729US

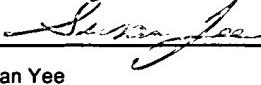
<b>ENCLOSURES</b> (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): (1) Confirmation Postcard; (2) PTO-SB-08A (x2)
<input type="button" value="Remarks"/> Total page number does not include postcard.		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carr & Ferrell LLP      Cust. No. 22830		
Signature			
Printed name	Susan Yee		
Date	January 11, 2005	Reg. No.	41,388

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gavin et al.

APPLICATION NO.: 10/791,476

FILING DATE: March 1, 2004

TITLE: Providing Game Information via Characters in a Game Environment

EXAMINER: Cherubin

ART UNIT: 3713

ATTY.DKT.NO.: PA2729US

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Susan Yee

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INITIAL INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and is submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

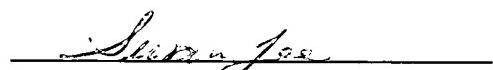
These references are cited in accordance with 37 C.F.R. § 1.97(e)(1) in that "each item of information contained in the information disclosure statement was first cited in [a] communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the [present] information disclosure statement." As such, no fees are due with this submission.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Respectfully submitted,  
Andrew Gavin et al.

January 11, 2005

By:

  
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PTO/SB/08A (08-03)

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 1

<b>Complete if Known</b>	
Application Number	10/791,476
Filing Date	March 1, 2004
First Named Inventor	Gavin
Art Unit	3713
Examiner Name	Cherubin
Attorney Docket Number	PA2729US

**U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)				
		UK 2 356 785 A	05.30.2001	Namco Ltd.		
		EP 1 078 661 A1	02.28.2001	Sega Ent. Ltd.		
		WO 97/14102 A1	04.17.1997	NA Software, Inc.		
		EP 1 029 569 A2	08.23.2000	Sega Ent. Ltd.		
		EP 0 901 803 A1	03.17.1999	Sega Ent. Ltd.		

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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